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#### REVIEW

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# Vibration-based bridge scour detection: A review

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#### Summary

Scour around bridge foundations are regarded as one of the predominant causes of bridge failures. Traditional methods primarily employ underwater instruments to detect bridge scour depths, which thus have difficulties in instrument installations and operations. The concept of scour detection derived from vibration-based damage detection has been explored in recent years to address such difficulties by investigating the natural frequency spectrum of a bridge or a bridge component. This paper presents a comprehensive review of existing studies on scour detection using the natural frequency spectrum of a bridge or a bridge component. Underlying mechanisms, laboratory and field tests, numerical studies, and data processing schemes are reviewed to summarize the state of the art, which is absent but urgently needed. Updates on recently developed scour monitoring sensors are also provided to complement the introduction. Based on the review, in-depth discussions in existing studies are made regarding a few controversial and unsolved issues to shed light on future research, highlighting issues such as the soil–structure interaction, locations of the sensor installation, and the influence of shapes of scour holes.

#### KEYWORDS

bridge scour detection, data processing scheme, natural frequency, sensor monitoring, soil-structure interaction

#### **1 | INTRODUCTION**

Scour around bridge foundations is regarded as one of the predominant factors in inducing bridge failures.<sup>[1-3]</sup> Elsaid<sup>[4]</sup> reported that more than 603,168 bridges existed in the United States and 12% of these bridges have structural deficiencies. Among them, 58% within 1,500 bridges collapsed in the past 40 years due to bridge scour damage,<sup>[5]</sup> resulting in a huge financial cost for bridge repairing and retrofitting. According to statistics,<sup>[6,7]</sup> the average annual cost for repairs of high-ways due to flood damage was 50 million; while the annual cost for scour-related bridge failures was estimated to be 30 million. Also, scour-induced bridge failures interrupt trans-portation and thus lead to a greater financial loss. Besides, scour-induced bridge collapses usually occur suddenly with-F1 out prior warning. Figure 1a shows the Shi-Ting-Jiang Bridge that collapsed due to severe bridge scour during a flood. Two train coaches dropped into the river and were flooded down-stream by 200 m. Figure 1b shows the collapse of the Pan-Jiang Bridge in 2013. Six cars fell into the river, and 12 

people were killed. The main reason was due to the rapid development of scour holes caused by quickly washing away sediments around bridge foundations during a constant torrential rain. Therefore, this type of catastrophic failure greatly endangers human lives.

The most straightforward way to mitigate the threat of bridge scour is to estimate the scour situation using empirical or stochastic approaches. Scour is induced as flowing water excavates and removes materials around the bridge foundation from bed and bank of streams.<sup>[10]</sup> Scour assessments remain difficult because this process is coupled with many factors,<sup>[11]</sup> for example, flow, deck, pier, abutment, and soil. Factors contributing to scour formation include the geometry of the channel, dynamic hydraulic properties of the flow, and foundation configurations.<sup>[11]</sup> In the past decades, various empirical equations based on laboratory tests and field observations have been proposed to predict the scour depth in terms of different factors in constructions, scour models, parameters, laboratory or site conditions.<sup>[12–14]</sup> However, many uncertainties are involved when determining the

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FIGURE 1 Scour-induced bridge collapses. (a) Shi-Ting-Jiang Bridge failed on August 19, 2010<sup>[8]</sup>; (b) Pan-Jiang Bridge failed on March 9, 2013.<sup>[9]</sup> Both bridges were in Sichuan province, China

parameters in these equations in the field. To avoid the uncer-16 tainties, artificial neural networks were then developed to 17 predict the scour depth.<sup>[15-18]</sup> The advantage of this method 18 is that physical relationships between bridge scour and vari-19 ous factors affecting bridge scour do not need to be well 20 defined. Due to the small errors and correlation coefficients, 21 the predictions obtained with artificial neural networks are 22 more satisfactory than those with empirical equations. 23

Numerical simulations, laboratory modeling, and in situ 24 monitoring have also been used in evaluating the severity 25 caused by bridge scour.<sup>[19-30]</sup> Numerical models have been 26 applied to simulate the complicated process involving the 27 soil-fluid-structure interaction, while laboratory models 28 29 have been studied to understand the development of scour in reality under the influence of water flow and the soil-30 structure interaction (SSI). Results from both numerical 31 32 simulations and laboratory models can be taken to better understand the relationship between different factors and 33 scour progression. Details of mathematical modelling of 34 scour around hydraulic and marine structures can be referred 35 to Mutlu Sumer.<sup>[31]</sup> Up-to-date studies on flow-altering coun-36 termeasures against bride sour including their limitations and 37 difficulties in field applications can be found in Tafarojnoruz 38 et al.<sup>[32]</sup> For *in situ* scour measurements, various instruments 39 have been used for long-term scour monitoring. Such instru-40 ments include float-out devices, sonar apparatuses, tethered 41 42 buried switches, ground penetrating radars, buried and driven rods, sound wave devices, electrical conductivity devices, 43 and Fiber-Bragg grating sensors.<sup>[33-42]</sup> Details about the 44 operational principles of these instruments can be found in 45 Prendergast and Gavin<sup>[36]</sup>, and Deng and Cai.<sup>[40]</sup> 46

Many attempts at scour monitoring for actual bridges 47 have also been made. Efforts, taking those in Taiwan, for 48 49 example, are significant because several bridge collapsed due to scour severity, such as the Shuang-Yuan Bridge<sup>[43]</sup> 50 and the Hou-Feng Bridge.<sup>[44]</sup> To alleviate the bridge scour 51 threat, Lu et al.<sup>[45]</sup> conducted field experiments at the Si-Lo 52 Bridge in the lower Cho-Shui River to detect the general 53 scour and the total scour using a sliding magnetic collar, a 54 steel rod, and a numbered-brick column. Lin et al.<sup>[44]</sup> used 55 mobile location-based services for real-time monitoring of 56 progressive scour at the Da-Jia River Bridge of National 57

Freeway No. 1 and No. 3. Wang et al.<sup>[46]</sup> utilized an easily installed piezoelectric film-type sensor on the piers of the Si-Bin Bridge for scour monitoring in real time. The test results from these field studies confirmed that these techniques were able to monitor the scour development of actual bridges in real time for the purpose of preventing bridges from sour-induced failures.

While the previous investigations in bridge scour detection primarily focus on scour detection with underwater instruments, a novel way derived from vibration-based damage detection has been gaining increasing attention in recent years. Difficulties such as the installation and operation of instruments in traditional methods for scour detection can be easily addressed using this innovative way by investigating the natural frequency spectrum of a bridge or a bridge component. Various studies have been presented based on the hypothesis that scour has an effect on the natural frequency spectrum of a bridge or a bridge component. However, despite the significant advances in this innovative technique, no review study has been conducted to summarize the relevant knowledge and experience learnt from the existing studies and to introduce the latest progress. To address the need, this paper presents a comprehensive review of the existing studies on bridge scour detection based on the natural frequency spectrum of a bridge or a bridge component. The 97 existing studies are reviewed according to the following cate-98 gories: laboratory and field tests, numerical studies, and data 99 processing schemes. To complement the framework, back-100 ground knowledge such as basic mechanisms is introduced 101 firstly and updates on recent developments in scour monitor-102 ing sensors are provided afterward. In-depth discussions in 103 the existing studies are made regarding a few controversial 104 and unsolved issues to shed light on the future development 105 of the technique. 106

#### 2 | NATURAL FREQUENCY-BASED **MECHANISMS AND EXCITATION METHODS**

Mechanisms of how scour affects the natural frequency spec-112 trum of a bridge or a bridge component are introduced in this 113 section to lay down a basis for the following introduction to 114

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the existing studies on bridge scour detection using the natural frequency spectrum. The straightforward way to obtain the natural frequency spectrum is to analyze the dynamic responses of a test component using the Fast Fourier Transform (FFT). As the natural frequency is intended to detect bridge scour, one critical issue is to understand how the scour development affects the natural frequency spectrum. Mechanisms of the frequency-based scour detection thus are firstly introduced in the 2.1. The other critical issue is to generate effective vibrations for analyzing the dynamic responses. Two general ways for generating vibrations, that is, forced vibration and ambient vibration, are introduced in the 2.2 Section. Advantages and limitations of both are summarized afterwards for the following introduction.

#### 18 2.1 | Mechanisms of frequency spectrum-based scour 19 detection

The presence of bridge scour leads to changes in the natural frequency spectrum of a bridge/bridge component. For general structural damage, the stiffness of the structure, which reflects in the natural frequency spectrum, is a main indicator of structural health monitoring.<sup>[47]</sup> A measured predominant 25 natural frequency (PNF), which is substantially lower than the expected frequency, indicates an abnormal loss in the stiffness of a measured component.<sup>[1,47]</sup> Similarly, for bridge scour, taking a bridge pier for example, the stiffness of a pier 29 is very likely to be decreased if the measured PNF of the pier 30 is lower than the expected. The result can be clearly inferred from Equation  $(1)^{[48]}$ :

$$f_n = \frac{1}{2\pi} \sqrt{\frac{k}{m}} \tag{1}$$

where  $f_n$  (Hz) is the PNF; k (N/m) and m (kg) are the stiffness and mass, respectively;  $\pi$  is the circumference ratio.

Two aspects, that is, mass and stiffness, have an impact 39 on the change in the PNF. The PNF decreases if the mass 40 of the bridge pier increases. Also, any decrease in the stiff-41 42 ness of the bridge pier leads to a reduction in its PNF. The pier is surrounded by soils when it is in a condition without 43 scour. During bridge scour progression, the free length of 44 the pier gradually increases because the top layer of the sur-45 rounding soils is eroded away by flows. In the meanwhile, 46 47 the mass of the pier remains the same when the soils around the pier are removed. Accordingly, the removed soils around 48 49 the pier change the boundary conditions of the pier, or to be more specific, loosen the soil constraint to the pier. The struc-50 51 tural integrality in the pier itself remains unchanged at that situation. Therefore, an unchanged mass with a decreased 52 stiffness results in a reduction in the PNF of the pier. In other 53 54 words, the removed soils around the pier weaken the soil-pier interaction so that the lateral stiffness of the pier tends to be 55 56 reduced.<sup>[49]</sup> If a scour hole develops, the lateral stiffness of the pier is further reduced. As a result, the PNF of the pier 57

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will decrease with the bridge scour development. Because the natural frequency of the pier depends on its stiffness, observing changes in the PNF is a potential approach for scour damage identification and bridge health monitoring.<sup>[36]</sup> However, it is worthwhile to mention that structure-induced damage in reality can also lead to the change in the PNF of a bridge or a pier. This fact causes a difficulty in the framework of detecting bridge scour using the PNF if structureinduced damage happens. However, because the inspection of the bridge superstructure is usually easier, it is assumed that structure-induced damage is not considered (or known), and consequently, the change in the PNF is used to indicate changes in the scour depth.

#### 2.2 | Excitation methods

#### 2.2.1 | Forced vibration

Forced vibration is induced by intentional dynamic loads. Artificial vibration sources include iron balls, vibrators, hammers, and so forth. Due to the reason of artificial operations, the input force level and frequency are usually predetermined. The ratio of high desired frequency to undesired frequency (DF/UF) can be achieved prior to tests.<sup>[50]</sup> This advantage is taken to easily identify dynamic characteristics of a structure.<sup>[4]</sup> Another advantage is that the force level and frequency are not measured for signals processing, which eliminates a considerable number of extraneous noises. Due to the advantages, forced vibration such as those using rubber hammers have been successfully used for obtaining the dynamic responses of a bridge/bridge component. For instance, Biswas et al.<sup>[51]</sup> studied the indication of structural damage using forced vibration on a full-scale bridge. Shinoda et al.<sup>[52]</sup> used an iron ball to vibrate a bridge pier for estimating bridge performance after bed degradation. Yao et al.<sup>[53]</sup> utilized a hammer impact to identify dynamic responses of bridge piers in the laboratory test. An impulse hammer was used to excite free vibration on a simulated single bridge pier (a steel square hollow beam) to identify its dynamic characteristics.<sup>[54]</sup> To conclude, forced vibration is a useful way to produce desired data from which system parameters can be better identified. However, it is worthwhile to mention that forced vibration may not be suitable for old bridges as no setups are pre-made for the equipment installation.

#### 2.2.2 | Ambient vibration

Ambient vibration is usually caused by unintentional man-105 made or atmospheric disturbances, for example, winds, 106 floods, and passing vehicles. Different from forced vibration, 107 ambient vibration contains many uncontrolled load functions. 108 A low DF/UF ratio, for example, the vehicle frequency 109 (undesired), presents in signals because ambient vibration 110 contains high undesired noises from the exciter.<sup>[49]</sup> Also, 111the input is unknown, which makes it difficult to estimate 112 dynamic signals. By contrast, the advantage of this type of 113 vibration is that it involves convenient measurements in 114

real-time monitoring without causing any traffic interruption. Also, little effort is needed in the measurements. Furthermore, the ambient vibration method can provide a safer measurement environment because no operator is required to excite a measured component. Due to the advantages, much attention has been paid to ambient vibration for identifying the dynamic properties of a structure. For example, Yang 9 and Lin<sup>[55,56]</sup> proposed to scan the PNF of a bridge using a 10 passing vehicle. The response recorded using an accelerome-11 ter installed in the vehicle was processed with the FFT algo-12 13 rithm to extract the PNF of the bridge. Further studies were carried out to enhance the visibility of the first primary fre-14 quency of the bridge and to find an effective way for 15 extracting bridge frequencies using a passing vehicle.<sup>[57-59]</sup> 16 Therefore, ambient vibration is another way for identifying 17 the dynamic properties of a bridge/bridge component. It is 18 especially suitable for measuring the dynamic responses of 19 old bridges which are difficult to work with forced vibration 20 instruments. For the comparison, both excitation methods 21 T1 are summarized in Table 1. 22

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#### **3** | LABORATORY AND FIELD TESTS

Bridge scour detection using the natural frequency spectrum 27 of a bridge/bridge component has been validated by labora-28 29 tory and field tests. Various sensors have been installed in laboratory models and *in situ* tests to record dynamic data. 30 These studies are presented below in chronological sequence. 31

Shinoda et al.<sup>[52]</sup> evaluated the performance of a bridge 32 pier after riverbed degradation using forced vibration tests 33 in both a laboratory and the field. In the laboratory test, a 34 velocity sensor was installed at a location very close to the 35 top of the pier to record dynamic data. The vibration was gen-36 37 erated by hitting the plane that the velocity sensor is fixed on using an iron ball. Different contact durations between the 38 iron ball and pier were measured in the laboratory test. It 39 was concluded that the minimum contact duration should 40 be applied to separate the iron ball-induced frequency from 41 42 the pier PNF. In the field test, a bridge pier was studied using the same method as that in the laboratory to detect the PNF of 43 the pier after riverbed degradation. The measured PNF was 44 compared with the PNF in a condition without scour, which 45 was calculated using an experimental formula. The results 46 47 from the field test confirmed that the PNF of the bridge pier decreased with the damage of the pier and increased with 48

Comparison of excitation methods

reinforcements. The results did not explicitly point out the relationship between bridge scour and the PNF of the pier. However, the riverbed degradation indicated that scourinduced damage was the main reason.

Masui et al.<sup>[60]</sup> developed a soundness evaluation system 64 to detect bridge scour based on ambient vibration measure-65 ments. Vibration sources were derived from passing trains 66 and floods. A servo acceleration sensor was installed on the 67 top of a pier and used to collect vibration wave shapes via 68 wireless LAN. Different evaluation indicators were proposed 69 and utilized to identify the pier integrity separately. Train-70 induced vibration was evaluated using the ratio ( $\beta$  = horizontal 71 acceleration amplitude/vertical acceleration amplitude) of 72 horizontal root mean square (RMS) to vertical RMS, while 73 flood-induced vibration was estimated using the PNF of the 74 pier. For the train-induced vibration, a passing train mainly 75 induced vertical vibration, while horizontal vibration tended 76 to increase as bridge scour developed. In that case, the value 77 of  $\beta$  increased with scour development because, when a train 78 passed, the horizontal RMS increased while the vertical RMS 79 remained unchanged. This theory was validated by compar-80 ing  $\beta$  in the scoured pier and the unscoured pier in the field 81 test. The results confirmed that calculated  $\beta$  in the scoured 82 pier was greater than that in the unscoured pier. For the 83 flood-induced vibration, the dynamic responses of the pier 84 caused by a micro-tremor under floods were recorded using 85 the same acceleration sensor. Then the PNF of the pier was 86 calculated by transferring recorded data using FFT. After 87 that, the PNF under floods was compared with the previous 88 PNF. This comparison validated that the change in the PNF 89 of a pier can be used to evaluate scour conditions. 90

Yao et al.<sup>[53]</sup> used the PNF of a bridge pier to experimen-91 tally study scour development by employing multiple sensors 92 at a shallow foundation. To simulate the real superstructure, a 93 concrete column with a diameter of 0.45 m and a length of 94 4 m was used to simulate the pier as shown in Figure 3a. 95 Two prefabricated concrete decks were installed end-to-end 96 on the top of the column to simulate bridge decks. The con-97 crete column was embedded into a sand matrix in a 2D flume 98 to simulate a shallow foundation. Various sensors were set up 99 to record experimental data, including a motion sensor, a tilt 100 sensor, a float out device, a water stage sensor, a sonar sensor, 101 an Acoustic Doppler Velocimetry, and a Tethered Buried Switch instrument. The motion sensor was installed on the 103 top of the pier to record dynamic responses of the pier 104 (Figure 3a). The test was performed in several steps. Firstly, 105

types	Vibration sources	Advantages	Limitations
Forced vibration	Vibrator oscillator, hammer, iron ball, etc.	High DF/UF ratio, known input function, easy data identification	Low safety, traffic interruption, high cost in field tests, time and labors waste
Ambient vibration	Winds, floods, passing vehicles, etc.	Economical in time/labor, high safety	High UF/DF ratio, unknown input function, difficult data post-processing

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a hammer was used to generate vibration when the flume was not filled with water. Then the flume was filled with water and vibration was generated by a flow, in which different flow velocities were implemented. A bridge scour hole was developed as the flow velocity increased. The experimental F2 results presented in Figure 2 indicate that the first three natu-ral frequencies of the simulated pier in the flow direction (scour-preferred direction) decreased with time as soon as the scour hole developed. The frequencies continued decreas-ing as the scour depth increased. In a subsequent study, in situ scour detection tests of two bridges in Texas were conducted using the same instruments in the laboratory test.<sup>[61]</sup> The motion sensor was glued to the cap beam to record the dynamic responses of the bridges. Vibration was generated by a passing vehicle. By analyzing the measured data, it was found that there was a difficulty in obtaining the PNF due to the discontinuous measured acceleration signals, which was due to undesired noises and the power shortage at the sensor during the tests. 



**FIGURE 2** Variation of the predominant natural frequency (PNF) in the flow direction [Reproduced from Yao et al.<sup>[53]</sup>]

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Briaud et al.<sup>[62]</sup> continued to refine the previous labora-tory model<sup>[53]</sup> to investigate the PNF-bridge scour relation-ship in a deep foundation in addition to the shallow foundation. As shown in Figure 3b, eight rebars as piles were F3 63 installed into the bottom of the concrete column to simulate the deep foundation combining a bridge pier and a pile foun-dation. The model for both the shallow and deep foundations followed the same procedures as that used in Yao et al.<sup>[53]</sup> A bridge scour hole developed with the increase of the flow velocity. When the scour hole reached the bottom of the pier or the piles, the pier started to settle and rock. A conical shape scour hole was formed in experiments for both founda-tions. A motion sensor was installed at the top of the pier to record the dynamic responses of the pier. The experimental results of the shallow foundation demonstrated that the first natural frequency of the pier in the flow direction (scour pre-ferred direction) decreased from 9.5 Hz to less than 4 Hz within 3 hr. This was the time when scour depth continuously increased. The second and third natural frequency of the pier in the flow direction greatly decreased as well. However, the first natural frequency of the pier in the traffic direction almost remained unchanged during the period. A similar result was obtained for the deep foundation model, though the decrease in the first natural frequency was smaller at the beginning of the scour hole development. All results indi-cated that the PNF of the pier in the flow direction decreased as the scour depth increased. 

Ko et al.<sup>[49]</sup> proposed a set-up of field measurements on bridges and the schemes of data processing to accurately detect scour using the natural frequency spectrum in the field test. Two *in situ* cases were investigated to examine how bridge scour affects the dynamic responses of bridge piers. One was bridge piers with severe scour (6–7 m) and slight scour (0.5–1 m). The other was a bridge pier with 4.5- and 7.5-m scour level. The vibration source was a passing vehicle. Dynamic data in the two cases were recorded using velocity sensors. But the locations of the sensor installation were different. The sensors were installed on the cap beam



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in one case, while in the other case, the sensors were installed on the one side of a bridge deck. The difference in the PNF of the pier was evaluated by comparing the cases under slight and severe scour conditions. The schemes of data processing were utilized to obtain a representative PNF by averaging FFT natural frequencies of three recording sections extracted from the overall recording. Details of the post-data process-9 10 ing will be later introduced in the 5 Section. The results revealed that the change in the PNF of the pier was negligible 11 in the traffic direction due to the constraint from decks. How-12 13 ever, the PNF of the pier explicitly decreased in the flow 14 direction as scour depth increased. The reason was that the 15 overall stiffness of the tested pier was decreased due to scour 16 development. This was mostly true in the flow direction because scour was induced by the flow. 17

18 The influence of soil strength and water level on the natural frequency spectrum of a bridge pier was experimentally 19 investigated with ambient vibration.<sup>[63]</sup> As shown in 20 21 F4 Figure 4, a single bridge pier with different penetration depths was used to simulate different scour situations in the 22 laboratory. To investigate the effect of the soil strength, two 23 soil blocks with different compression strengths were mea-24 sured. Three vibration sensors were used to record dynamic 25 signals of the pier, among which two sensors were installed 26 on the top of the pier (top sensor) and the other one was on 27 the soil surface layer near the pier (bottom sensor). To obtain 28 a better interpretation, this study introduced two indicators. 29 One was the PNF,  $f_{imp}$ , measured from the impact by the 30 flood. The other was the value of  $f_{mt}$ , which was the ratio 31 32 of the PNFs measured by the top sensor to that by the bottom sensor. The results indicated that the values of both  $f_{imp}$  and 33  $f_{mt}$  decreased regardless of the compression strength of the 34



FIGURE 4 Schematic of different scour test situations [Reproduced from Samizo et al.[63] 57

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soil blocks. The maximum reductions in the  $f_{imp}$  and  $f_{mt}$  in the same soil block were approximately 80% and 60%, respectively. In addition, the relationship between the water level and the fluctuation of the pier PNF measured using microtremors was studied. The ratio  $(r_{wp})$  of the water level to the pier height was chosen for evaluation. This pier height was the distance from the top of the pier to the soil surface, which thus excluded the embedded part in the soil. The ratio  $(r_{mi})$  between the PNF measured using microtremors to that measured using impact vibration was also selected in this study. If r<sub>mi</sub> was equal to one, the PNF measured using microtremors was equivalent to that measured using impact vibration. The relationship between these two ratios, that is,  $r_{wp}$  and  $r_{mi}$ , was investigated. It was concluded that it was better to identify the PNF of the pier was at high water levels. This was because most measured PNFs tended to converge to the measured PNF using impact vibration at greater water levels.

The quality of dynamic data collection for scour detection was evaluated with a field test on a real bridge using wireless sensor networks.<sup>[64]</sup> The field test was conducted at an actual bridge with two piers. The wireless sensor system was assembled based on the Imote2.NET to include ITS400, Imote2, data acquisition, sensor module, microprocessor, and wireless RF module. Three Imote2-based sensing nodes were installed on the top, center, and bottom of the test bridge pier to collect the dynamic responses generated by force vibration. The acceleration responses and the PNFs of two scour scenarios, that is, no scour depth and 4 m scour depth, were collected and compared. It was found that the acceleration responses of the test pier collected from the top, center and bottom of the pier were clear enough for scour detection. The PNFs measured from the top of the pier also clearly showed the difference between the PNFs of no scour depth and those of 4-m scour depth. The field test results confirmed good-quality data collection on a real bridge for scour detection using the PNFs.

Foti and Sabia<sup>[65]</sup> investigated the change in the modal 97 identification of bridge spans and in the dynamic signals 98 under the influence of scour in the field. The riverbed level 99 in the measured bridge was decreased after a flood event, 100 which resulted in a 6-m deep scour hole around one of the 101 bridge piers. After that, this scoured pier was retrofitted with 102 a new foundation mat. To evaluate the retrofitting, two dif-103 ferent evaluation approaches were applied when comparing 104 the dynamic responses of the bridge with scour to that after 105 retrofitting. One approach was the modal identification of 106 bridge spans by comparing mode shapes and corresponding 107 frequencies of bridge spans before and after retrofitting. 108 Figure 5a shows the results of the modal identification of F5 109 the bridge spans, in which the mode shapes and the corre-110 sponding frequencies of Mode 1 and Mode 3 for the bridge 111spans before and after retrofitting are presented respectively. 112 The results of Mode 1 presented in Figure 5a(1) indicates 113 that the anomalous mode shape and lower frequency 114

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No 1

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Before

Retrofitting

After

Retrofitting

Receiver Position (m)

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FIGURE 5 Results of experimental tests: (a) mode shapes and corresponding frequencies of: Mode 1 for bridge spans (1) before retrofitting and 10 (2) after retrofitting; Mode 3 for bridge spans (3) before retrofitting and (4) after retrofitting; (b) dynamic responses of the scoured pier under three different passing vehicles [Reproduced from Foti 13 and Sabia<sup>[65]</sup>] 14

60 90 Longitudinal coordinate [m] appeared in the second span, which was supported by the scoured pier, when compared with the other spans before retrofitting. But the mode shape and the frequency of the second span became normal after retrofitting. The conclusion regarding whether the anomalous difference was due to scour was questionable because this anomalous difference in the second span may be attributed to defects in the span itself. This issue was addressed by comparing the results of Mode 3, which confirmed that the anomalous mode shape and the lower frequency were caused by scour because the frequency in Mode 3 was greater than the other spans before retrofitting as shown in Figure 5a(3). The mode shape of the second span became more regular and its frequency approximated to the other spans after retrofitting, which also validated the interpretation of the anomalous difference in the second span caused by scour (Figure 5a(4)). The other approach was the observation of the dynamic response of the scoured pier by comparing the dynamic responses of observing points on the foundation mat before and after retrofitting. The observing points were distributed from upstream to downstream. The vibration was generated by a passing vehicle. Three experiments were conducted using different vehicles before and after retrofitting, respectively. Data were collected with accelerometers and a dynamic signal acquisition device. The results of the dynamic responses are presented in Figure 5b, which presents a plot of the diagonal terms of the covariance matrix calculated for the dynamic signals from the obverting points of the scoured pier before (dashed lines) and after (solid lines) retrofitting. It can be shown that the variances of the scoured pier before retrofitting were significantly different

Similar results were observed in another laboratory study 48 with the discussion on the impact of water on the measured 49 PNF.<sup>[54]</sup> The laboratory model used a steel square hollow 50 beam to simulate a pier. The vibration was generated by an 51 impulse hammer hitting. Uniaxial accelerometers were 52 installed on the top of the pier to record dynamic data. The 53 54 simulated pier was installed in a sand matrix with 100% relative compacted density. To simulate different bridge scour 55 depths, the sand was removed in five identical increments 56 57 for each level. The experimental results showed that obvious

from that after retrofitting for all three tests.

reductions occurred in the PNF of the simulated pier between any two scour levels (Figure 8a). Then, a field test was performed using the same procedures. Soil samples were comprised of a very dense and fine sand deposit, which was a better in situ site conditions when compared with that in the laboratory. The results showed that the PNF decreased as scour depth increased. However, the models neglecting the effect of water did not reflect the *in situ* condition of piers if a pier was always submerged under water. Hence, another experiment was designed to assess the effect of water level on the PNF. Three cantilevers with different geometries were used as piers. The effect of water was evaluated by comparing the variation of the PNF in air and in water separately. The experimental results indicated that the presence of water affected the PNF of the flexible piers much more than that of the stiff ones. However, the PNF of a pier with a high stiffness vibrating in air was very close to that in water. The influence of water on the PNF was also discussed in Lin and Wang.<sup>[66]</sup> A series of static experiments was conducted with a single pier. Three velocity meters were installed on the top of the bridge pier to record the dynamic responses. The measured PNFs with different combinations of the imbedded pier length and water level were compared. The test results indicated that the imbedded pier length had a significant effect on the measured PNF, while the influence of water on the measured PNF was minor.

(b) 0.025

0.02

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(1) freq: 4.7 Hz freq: 4.5 Hz freq: 4.7 Hz freq: 4.7 Hz freq: 4.7 Hz

(2) freq: 4.7 Hz freq: 4.4 Hz freq: 4.4 Hz freq: 4.7 Hz freq: 4.7 Hz

60 90 Longitudinal coordinate [m]

(3) freq: 15.0Hz freq: 16.7Hz freq: 16.3Hz freq: 16.2Hz freq: 15.6Hz

(4) freq: 15.0Hz freq: 15.8Hz freq: 15.8Hz freq: 15.4Hz freq: 15.7Hz

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The performance of PNF-based scour detection was fur-99 ther investigated with experiments to represent a more realis-100 tic bridge situation.<sup>[67]</sup> Concrete pier models were chosen in 101 1/36 proportion of the Chun-Sha Bridge piers to include cais-102 son foundations (49-cm length), piers (23-cm length), and 103 pier caps. The pier models were imbedded in a straight line 104 in the channel. Sands were paved in the channel to reflect 105 the actual situation. Water was included in this experiment, 106 and the flow rate was selected based on the actual flow rate 107 measured from the river where the Chun-Sha Bridge is 108 located. Accelerometers were installed on the top of the test 109 piers to collect dynamic data from two directions, that is, 110 the flow direction and the direction that is perpendicular to 111 the flow direction on the same plane. The collected data were 112 transmitted to a computer using wireless sensor network for 113 data post-processing. The experimental results clearly 114

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showed that the PNFs measured from these two directions decreased as scour developed.

To conclude, a bridge pier is the preferable test component in the previous experimental tests. A sensor such as a velocity sensor or an accelerometer is frequently deployed on the pier body to collect dynamic signals due to the simple installation and good signals pick-up. In most cases, scour holes are symmetrical as soils around the pier are removed by equal layers. The selected soils are erodible for the pur-pose of easily forming scour holes within a short time during the tests. All details of the studies presented above are sum-14 T2 marized in Table 2. Experimental investigations indicate that the PNF can be an indicator of bridge scour detection. Therefore, identifying the natural frequency spectrum of a bridge or a bridge component allows inspectors to evaluate the evolu-tion of the scour hole and the bridge integrity. The PNF is dependent on the stiffness of the foundation systems. If a bridge scour hole develops, the system stiffness decreases; accordingly, the PNF decreases. Hence, bridge scour detec-tion can be taken in real-time monitoring using the natural frequency spectrum. 

#### 4 | NUMERICAL STUDIES

The idea of the PNF-based scour detection has also been explored using numerical methods such as finite element models (FEMs). Due to the different experiment types, the numerical models can be classified into two categories, that is, models for simulating laboratory processes and those for field-scale tests. The two categories are introduced separately in chronological order. Numerical results are usually compared with the results from either laboratory or field tests to validate the numerical models.

# 4.1 | Simulations for field-scale models

A numerical model was developed by Foti and Sabia<sup>[65]</sup> to evaluate bridge scour with focus on the difference in the dynamic responses and the influence of load positions. A single pier, which supported two bridge spans, was modeled in a FEM. Pile foundations were reproduced using 3D beam elements. The interaction between the pile and the surrounding soils was modeled with distributed vertical and horizontal springs.<sup>[68,69]</sup> The springs were assumed to be linearly elastic. Scour situations were modeled by suppressing springs at the top portion of pile foundations. Therefore, more rows of springs were suppressed to simulate different scour depths. To obtain the dynamic responses of the pier, a triangular impulse was used as an external excitation. The numerical study showed that there was a distinct change in the dynamic signals at different scour depths. In addition, to avoid the confusion, the influences of the different external load positions were studied using the same numerical model. A load applied on the downstream side of the pier (the same side of the scour hole) and on the upstream side separately. The numerical results revealed that different external load positions induced the different absolute values of the dynamic signals variances, which was the diagonal terms of the covariance matrix calculated for the observing points of the pier. Though the PNF-scour relationship was not presented directly, this study provided the evidence of identifying scour damage using the dynamic responses of a pier.

An integrated model combing genetic algorithms was developed to determine the PNF of a bridge from numerous frequencies calculated by the modal analysis.<sup>[70]</sup> This model used the effective mass above the soil surface to determine the PNF of the bridge.<sup>[71]</sup> They defined the effective mass ratio as the ratio of the mass above the soil surface to the total mass in a certain direction with a specific degree of freedom,

 TABLE 2
 A summary of laboratory and field tests

Test component(s)	Instruments	Vibration types	Scour shape	Soil properties	Sensor location
In situ caisson pier <sup>[52]</sup>	Velocity sensor	Forced	No	_	Top of pier
In situ pier <sup>[60]</sup>	Sevo accelerometer	Ambient	No	_	Top of pier
In situ pier/deck <sup>[65]</sup>	A dynamic signal acquisition device, accelerometers	Ambient	Yes	Soft/silty clay	_
Concrete column <sup>[53,62]</sup>	Motion, tilt, sonar, water stage sensor, float out device, TBS device, ADC device	Forced/ ambient	No	High erosive soil	Top of pier
In situ pier <sup>[61]</sup>	Motion, tilt, sonar, water stage sensor, float out device, TBS device, ADC device	Ambient	No	—	Cap beam
In situ caisson pier <sup>[49]</sup>	Velocity sensor	Ambient	Yes	_	Cap beam and bridge deck
Concrete pier <sup>[63]</sup>	Vibration sensor	Ambient	Yes	Crushed stone	Top and bottom of pier
Steel cantilever/circular tube <sup>[54]</sup>	Uniaxial accelerometer	Forced	No	High density sand	Top of pier
In situ pier <sup>[64]</sup>	Imote2.NET	Forced	No	_	Top, center, and bottom of pier
Plastic tube <sup>[66]</sup>	Velocity sensor	Ambient	No	Sand	Top of pier
Small-scale real pier <sup>[67]</sup>	Accelerometer, GPS, sensor circuit board, wireless sensor network	Ambient	No	Sand	Top of pier

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which could be used as an indicator to determine the PNF from coupled numerical models. This was because the mode shapes of soils, piers, and bridges were coupled together. It was difficult to find out if a predominant mode shape belonged to the bridges or the soils. If the value of effective mass ratio of one mode shape was larger than 30%, this mode can be categorized as a dominant mode shape in that direction. To examine the accuracy, the multispan bridge 10 supported by simple beams were modeled using the 11 FEM.<sup>[72]</sup> By setting different scour depths under different 12 environmental conditions, the possible PNFs of the bridge 13 were calculated. To analyze the considerable number of data 14 15 generated by the FEM, genetic algorithms were applied to find the fitted generic formula. For the purpose, the relation-16 ship among the scour depth, the PNF, and various environ-17 mental variables was firstly defined. Then optimal solutions 18 were constructed to be the best fit to this relationship.<sup>[73,74]</sup> 19 The simulations included three pier types, six soil strength, 20 and nine scour depths to investigate their effects on the 21 PNF. By setting optimal fitting formulas, the mean errors 22 for two cases with different types of pile arrangements were 23 1.1801 and 0.5274 m, respectively, which were acceptable. 24

25 The effect of soil strength on the PNF of a bridge was further discussed based on the previous integrated model.<sup>[75]</sup> 26 The modeling process was the same as that in the previous 27 model.<sup>[70,72]</sup> But the focus of this study was a sensitivity anal-28 ysis of the effect of different soil strength on the PNF of a 29 bridge. To address this issue, six types of soils with different 30 soil strength were adopted in the simulations to show the 31 32 scour depth-PNF relationship at different scour depths. For Types 1 to 4, the Young's modulus of soil linearly increased 33 with the soil depth from the top of the soil to the bottom. In 34 contrast, the modulus linearly decreased with the soil depth 35 for Type 6 while the modulus remained unchanged for Type 36 37 5. The simulation results showed that the PNF of the bridge decreased with an increase in the scour depth in all cases 38 (Figure 12a). However, the numerical results indicated that 39 the soil strength had a negligible impact on the PNF of the 40 bridge (Figure 12a). This was particularly true when the pro-41 42 gression of scour depths was from 0 to 6 m. During this period, the PNF was almost unchanged. 43

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Zhang et al.<sup>[76]</sup> constructed a FEM to find out the rela-60 tionship between the scour depth and the PNF of a bridge 61 with focus on the influence of the pile length and the soil 62 strength. To avoid confusion, the bridge superstructures were 63 assumed to remain unchanged for all analyses. The key vari-64 able was the difference in the bridge foundations affected by 65 scour. The purpose was to find out the influences of the scour 66 depth on the PNF of the bridge. Issues regarding how the pile 67 arrangement and the soil strength affected the PNF were 68 discussed by investigating different pile lengths and soil 69 strengths. The boundary conditions of soils were restricted 70 except in the top surface layer. The numerical results con-71 cluded that the PNF of the bridge decreased with an increase 72 in the scour depth. Also, different lengths of the pile and the 73 soil strength would affect the PNF of the bridge. The PNF 74 increased with the increase of the pile length. However, the 75 difference in the PNF calculated with different pile lengths 76 was smaller if the soil strength was high when compared to 77 that with low soil strength. The PNFs were very different if 78 the soil strength differed. The PNF increased with the 79 increase of the soil strength, regardless of the pile length. 80

A numerical model of a full-scale bridge had been devel-81 oped by considering more parameters to focus on determina-82 tion of the PNF of a scoured bridge with the SSI.<sup>[77]</sup> For most 83 bridges, there were primarily two types of interactions, that 84 is, SSI and fluid-structure interaction (FSI). Effects of both 85 of them on the PNF of the scoured bridge were studied and 86 analyzed separately. For SSI, the dimensions of the soil mesh 87 were chosen to be over twice of the foundation dimensions in 88 the horizontal plane to better represent the soil-structure 89 behavior. The model also adopted the effective mass of the 90 full-scale bridge above the soil surface to determine the 91 PNF. The critical issue was to identify the predominant mode 92 shape of the bridge. The first step was to find the value of the 93 effective mass ratio of one mode shape that was greater than 94 30% to be the predominant mode shape, following the same 95 procedure used in Feng et al.<sup>[70]</sup> As shown in Figure 6, the F6 96 PNF of the bridge decreased with an increase in scour depths 97 in both the bridge longitudinal and the transverse directions, 98 but the decrease was not smooth due to the nonuniform 99 cross-sections of the foundation. In addition, this decreased 100



FIGURE 6 Variation of the predominant natural 54 frequency (PNF) of the bridge with scour depth: 55 (a) PNF variation in the bridge longitudinal direc-56 tion; (b) PNF variation in the bridge transverse direction [Reproduced from Ju<sup>[77]</sup>] 57



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trend was more obvious if the scour depths were below the bottom of the pile cap. For the FSI, the formulation of a compressible and inviscid fluid at a small velocity was employed. The fluid velocity, the bulk modulus, and the fluid mass density were considered. The numerical results led to the conclusions that the calculated PNF without water was always higher than that with water, as presented in Figure 6. However, the effect of fluids on the PNF of the bridge seemed 10 to be negligible because the difference between the PNFs considering and without considering water was less than 1% 13 in both directions. Notwithstanding, the fluid effect might 14 increase if all the bridge foundations, including piles, pier 15 caps and piers, were submerged into water when water level was extremely high. 16

A more complicated cable-stayed bridge was modeled to 17 determine the scour status for a pier of this full-scale bridge 18 using the natural frequencies of the bridge.<sup>[78]</sup> The natural 19 frequencies used in this study consisted of vertically flexural 20 frequencies, horizontally flexural frequencies, axial frequen-21 cies, and torsional frequencies. The support of this cable-22 stayed bridge included a pylon at the location close to the 23 middle of the whole bridge span, an abutment at the left-24 25 end side, and a bridge pier at the right-end side. Because of the complicacy of modeling this cable-stayed bridge, four 26 steps were made to determine the scour status for the right 27 bridge pier. First, a simplified model, neglecting the left abut-28 29 ment and the right bridge pier, was developed and validated against the field test results by modifying the boundary con-30 ditions to obtain a good accuracy. Second, a comprehensive 31 32 model was developed by adding the right bridge pier. Third, 33 the optimal soil stiffness was estimated for the right bridge 34 pier by fitting the critical bridge natural frequencies using a known soil deposit at the pylon. Finally, scour status for the 35 36 right bridge pier was determined using the optimal soil depth 37 to fit the two sensitive frequencies of this bridge pier. The determined scour depth was validated against a practical 38 scour measurement, for which an agreement was obtained. 39 This study confirmed that the natural frequency spectrum-40 based scour detection was also feasible for complicated 41 bridge types such as cable-stayed bridges. 42

#### Simulations for lab-scale models 4.2

Briaud et al.<sup>[62]</sup> conducted a three-dimensional (3D) FEM to 46 identify the PNF of a bridge pier with emphasis on how the 47 PNF changed in the flow and the traffic directions. Two types 48 49 of foundations, that is, shallow and deep foundations, were modeled and analyzed separately. For simplicity, water was 50 not included. In the shallow foundation model, a single pier 51 that supported two bridge decks was embedded in the soil 52 block. All the material properties were taken from either field 53 54 tests or manufacturer specifications. To model the contacts between different elements, normal interface springs were 55 employed between all penetrating nodes and on the contact 56 57 surfaces such as the pier-soil surface. The presence of the 58

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scour hole was simulated by changing the contour of the 60 mesh along the soil surface. The scour depth was changed 61 in increments of one-third of the total embedment of the pier 62 to simulate four different scour depths: 0, 0.1, 0.2, and 0.3 m. 63 The PNF of the pier was obtained directly from modal anal-64 ysis. In the deep foundation model, all the parameters and 65 procedures were identical to the shallow foundation model 66 except that eight piles were placed under the bottom of the 67 pier. As shown in Figure 7, the numerical results shows that F7 68 the PNF of the pier decreased with the development of a 69 scour hole in the flow direction in both the shallow and the 70 deep foundation models. The numerical solutions were close 71 to the experimental values. However, the PNF in the traffic 72 direction almost remained unchanged. 73

Prendergast et al.<sup>[54]</sup> developed a simple FEM to investi-74 gate the way to determine the stiffness of springs for the soil-75 structure interaction using the natural frequency spectrum for 76 scour detection. Both a laboratory and a field test were 77 modeled to investigate the change in the pier PNF due to 78 the scour development. For simplicity, a single pile was uti-79 lized to simulate a pier, which was modeled using beam ele-80 ments. A series of horizontal springs was used to model the 81 interaction between the pier and the soils around the pier. 82 The scour process was simulated by progressively removing 83 the springs from the top downward. To obtain correct numer-84 ical results, it was critical to assign the stiffness values to the 85 springs so that the lateral stiffness of the soils around the pier 86 could be accurately represented. Two approaches were 87 employed to determine the lateral spring stiffness values. 88 The small-strain stiffness (SSS) measurement utilized the 89 small-strain modulus, which was obtained using shear wave 90 velocity measurements or Ten Cone Penetration Tests, to rep-91 resent the lateral stiffness of soils. The American Petroleum 92 Institute method to determine the lateral stiffness of soils 93 was based on a Winkler model by calculating the secant mod-94 ulus of the lateral force-lateral displacement curve. The 95 results of the lab-scale simulations shown in Figure 8a F8 96 demonstrate that there was an explicit reduction in the pier 97



FIGURE 7 Predominant natural frequency (PNF) changes with scour depths in the shallow foundation and deep foundations [Reproduced from Briaud et al.<sup>[62]</sup>]

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**FIGURE 8** Variation of the predominant natural frequency (PNF) with scour depth in numerical and experimental PNF: (a) lab-scale results comparison; (b) field-scale results comparison [Reproduced from Prendergast et al.<sup>[54]</sup>]

PNF from a mildly scour condition to a serious scour condition. The SSS performed very well when compared with the PNF observed experimentally. However, the APT either underestimated the PNF at smaller depths of scour or overestimated slightly at greater scour depths. The main reason was that the nonuniform stiffness profile for the model could not reflect the stiffness of the soils in the laboratory test. The in situ stiffness of the soils depended on the sand density and mean stress level.<sup>[79]</sup> The soils used in the laboratory test were compacted during the test. This procedure led to a high lateral stress and a high relative density. As a result, a uniform stiffness values profile for spring-beam models were more accurate for the laboratory test. Besides the labscale simulations, a field-scale simulation was conducted using the identical process. For comparisons, the two approaches to determine the lateral stiffness of soils were plotted to compare with the field data. The frequency variation of a fixed cantilever with respect to scour development was also presented. As shown in Figure 8b, the PNF decreased as the scour hole developed in which all numerical PNF was in good agreement with the experimental PNF. But there was a lager deviation for the APT at the beginning of scour progression when compared to others.

In conclusion, both modal analysis and dynamic analysis have been used to obtain the PNF for scour detection. Parameters such as the SSI and the pier length have been comparatively discussed. The results regarding the effect of water indicate that the FSI was negligible due to small deviations. But the fluid effect might increase if all the bridge foundations were submerged into water. The issue regarding the way to determine the stiffness of soils using springs to represent the SSI was investigated, which highlighted the difference in determining the stiffness of soils in the lab-scale test and the field-scale test. The details are summarized in **T3** Table 3.

#### | DATA PROCESSING SCHEMES

Data processing schemes are introduced regarding the methods for processing the data collected from the transient response and the modal analysis. The schemes of the transient response are based on FFT for determining the PNF from numerous dynamic signals. For the modal analysis, new parameters are defined to identify bridge scour by evaluating the change in the new parameters. Details of schemes are presented in the following subsections based on different data sources, that is, experimental tests and numerical calculations.

#### 5.1 | Data from laboratory and field tests

Different indicators were used in laboratory and field tests for the data processing. One significant indicator is the PNF. FFT has been extensively used to identify the PNF. The integrity of a bridge or a pier can be evaluated directly by examining the change in the PNF.<sup>[52–54,61,62]</sup> Another popular indicator is the ratio between the transversal RMS and the vertical RMS,<sup>[60,61]</sup> which utilizes the change in this ratio to monitor scour development. Specific schemes used in these studies will also be introduced.

Shinoda et al.<sup>[52]</sup> utilized FFT by transforming dynamic signals from the time domain into the frequency domain to identify the PNF of the bridge pier. To assess the pier performance, a ratio was introduced by comparing the identified PNF to a reference PNF calculated from an empirical equation as Equation (2):

$$F = 11.83 \times \frac{N^{0.184}}{W_h^{0.285} \times H_k^{0.059}}$$
(2)

where F (Hz) is the standard PNF; N is the number obtained with the standard penetration test;  $W_h$  (N) is the weight of superstructure;  $H_k$  (m) is the height of the pier minus the height of the slab on the top of the pier. This ratio can reflect the variation of the PNF, with which scour scenarios could be evaluated. To easily examine the integrity, this study proposed four evaluation criteria, that is, 0–0.70, 0.70–0.85, 0.85–1.00, and greater than 1.00, which represents severe damage, slight damage, fair, and good performance, respectively. The value of this ratio can be directly used to evaluate scour conditions.

Masui and Suzuki<sup>[60]</sup> defined a parameter to process 113 train-induced dynamic data. The ratio of the transversal 114

Structure configurations	Scour depth	Pier length/pile arrangement	Scour shape	FSI	SSI
Single pier with two spans and 24 piles <sup>[65]</sup>	Yes	No/No	No	No	Spring-bear
Single pier with two desks <sup>[62]</sup>	Yes	No/No	No	Yes	Soil-pier
Full-scale bridge <sup>[70,72,75]</sup>	Yes	Yes/Yes	Yes	No	Soil-pier
Full-scale bridge <sup>[76]</sup>	Yes	Yes/Yes	No	No	Soil-pier
Single pile <sup>[54]</sup>	Yes	No/No	No	Yes	Spring-bear
Full-scale bridge <sup>[77]</sup>	Yes	No/No	No	Yes	Soil-pier
Full scale cable-stayed bridge <sup>[78]</sup>	Yes	No/No	No	No	Spring-bear

Note. FSI = fluid-structure interaction; SSI = soil-structure interaction.

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RMS to the vertical RMS, which was defined as  $\beta$ , was used. The principle of this technique is that passing trains primarily cause a bridge pier to vibrate in the vertical direction rather than in the transversal direction. However, the development of bridge scour leads to large changes in the transversal vibration. Hence, if the value  $\beta$  in conditions without scour is known, any changes in the rigidity of the pier indicate that bridge scour develops. An increase in the value of the transversal RMS results in a decrease in  $\beta$ . However, a slight change in  $\beta$  does not mean that scour around bridge foundations develops, because this change in  $\beta$  can also be attributed to deviations in the field measurements. If  $\beta$  locates within a

normal range calculated from statistical evaluation, the effect of scour is negligible. Otherwise, scour tends to be severe due to a decrease in the pier rigidity.

Masui and Suzuki<sup>[60]</sup> and Ko et al.<sup>[49]</sup> proposed a method based on FFT to identify the PNF from numerous measured data by flood-induced vibration. This method is used to accu-rately extract the PNF from the measured data caused by flood-induced microtremors. For the purpose, collected dynamic data are divided into three parts shown in Figure 9 F9 80 (a), for example,  $f_1$ ,  $f_2$ , and  $f_3$ , in which each part is partially overlapped with the next. The calculation process is shown in Figure 9. The FFT of each part is computed firstly. Then the 





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$$F = \frac{\sum_{i=1}^{N} f_N}{N} \tag{3}$$

where F (Hz) is the PNF; N is the number of the division parts; f(Hz) is the PNF of a division part. The averaged Fourier spectra of collected filed data for a real pier, including vibration of a test pier and ambient vibration, are shown in Figure 9(b). It can be seen that the PNF decreases obviously as scour depth increases.

#### 5.2 | Data from numerical calculations

those parts  $(f_1, f_2, f_3)$  using Equation (3):

The schemes for processing numerical data/results are summarized in this section. Due to the conclusion that bridge 20 scour affects the predominant mode shape and its corresponding natural frequency of a bridge or a bridge pier, new parameters will be defined based on the modal analysis to examine the integrity of a bridge or a bridge pier in simulations by evaluating the change in the defined parameters. Typical schemes are introduced regarding how to define the new parameters and how to identify the progression of bridge scour using the defined parameters.

28 Foti and Sabia<sup>[65]</sup> proposed a method to process dynamic 29 signals obtained from their numerical calculations. This 30 method included three main steps. First, signals were band-31 pass filtered to remove the background noise effect. Then the 32 auto-regressive moving average vector technique was applied to the dataset.<sup>[80-83]</sup> Finally, post-processing was employed to 33 34 identify possible structural vibration modes. The post-pro-35 cessing also included three steps. Firstly, if a modal damping 36 factor was higher than 10%, the corresponding vibration 37 modes were discarded so that the actual structural modes can 38 be selected. Secondly, the possible structural vibration modes 39 could be selected if the frequencies are close to one of the most 40 recurrent values in previous identified vibration modes. 41 Finally, the natural frequency and modal damping values 42 could be determined by averaging the values corresponding 43 to vibration modes characterized using mutually similar mode 44 shapes. Similar mode shapes during this process are depen-45 dent on modal assurance criterion coefficient  $(MAC_{i,i})$  in 46 Equation (4):

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$$MAC_{i,j} = \left| \frac{\Phi_i^H \Phi_j \right|^2}{\left| \Phi_i^H \Phi_i \right| \cdot \left| \Phi_j^H \Phi_j \right|} \right|$$

(4)

where *H* is the Hermitian of the vector; *i* and *j* are the numbers 52 of mode shapes. If  $MAC_{i,i}$  exceeds a predetermined threshold 53 (case dependent), those modes are believed to be similar. 54 Additionally, to exclude unreal solutions, an identified mode 55 shape is retained only if its components are characterized by 56 phase angles close to 0° or 180°. The reliability of the inferred 57

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dynamic parameters can be evaluated by a statistical analysis of the results from repeated calculations of several measurements.

Elsaid and Seracino<sup>[84]</sup> offered an approach to process the results of the modal analysis. The assumption was that bridge scour greatly affects the PNF derived from the dynamic characteristics of the horizontally displaced mode shapes. If a change in the curvature of the horizontally displaced mode shapes was calculated, bridge scour could be detected. The difference in the curvature of the horizontally displaced mode shapes for all modes can be summarized using a damage indicator called curvature damage factor (CDF)<sup>[85]</sup>:

$$CDF = \frac{1}{N} \sum_{i=1}^{N} |v_{oi}^{''} - v_{di}^{''}|$$
(5)

where N is the total number of modes to be considered,  $v_o''$  is the mode shape curvature of the intact structure, and  $v''_{d}$  is that of the damaged structure. The location of the damage was captured by calculating the CDF for the first five horizontally displaced mode shapes. If one CDF value of a mode shape exceeded the threshold line of the CDF, this value could be identified. However, if more than one values passed through the threshold line, the results calculated from the CDF might not be accurate because the values in the vicinity of the threshold line were potential false positives. The potential false positives might contribute to the high-order mode shapes rather than the damage mode shapes. A modified curvature damage factor (MCDF) was then introduced to normalize the effect of the higher order mode shapes:

$$MCDF = \frac{1}{N} \sum_{i=1}^{N} \left| \frac{v_{oi}^{''} - v_{di}^{''}}{v_{oi}^{''}} \right|$$
(6)

MCDF calculates the average of the absolute ratio of the curvature change for a certain number of mode shapes. Therefore, bridge scour can be evaluated by calculating the CDF and MCDF for the first five horizontally displaced mode shapes.

Lin et al.<sup>[66]</sup> proposed the PNF-based structural health monitoring algorithm using a short time FFT. A quadratic formula was utilized to describe the relationship between the imbedded pier length and the PNF as

$$PNF = a \times ID^2 + b \times ID + c \tag{7}$$

where ID is the imbedded pier length; a, b, and c are the 105 coefficients of this quadratic formula. In order to use this 106 quadratic formula for scour detection, one needs to first 107 obtain a, b, and c. For this purpose, at least three sets of 108 IDs and PNFs are needed. The first set can be obtained 109 from a practical scour measurement at a real bridge pier. 110 The rest two sets can be obtained from numerical simula-111tions of that bridge pier with zero ID and a half of the ini-112 tial ID of that bridge pier. Then, the imbedded pier length 113 can be estimated using this formula if the PNF is known. 114

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Accordingly, the corresponding scour depth can be evaluated.

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In summary, FFT has been extensively used to obtain the PNF of a test component in the experimental tests by analyzing its dynamic signals. The ratio of acceleration RMS was also applied in some cases. For simulations, the modal analvsis has been utilized to evaluate scour severity by identifying modal identifications in which different parameters were 10 defined and compared for the purpose. The PNF was given 11 via either FFT or the modal analysis. All documented 12 13 T4 schemes of data processing are summarized in Table 4.

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#### 16 6 | UPDATES ON BRIDGE SCOUR 17 MONITORING SENSORS

Updates on bridge scour monitoring sensors are provided in 19 this section to complement the framework of scour damage 20 detection. Scour detection using the natural frequency spec-21 trum of a bridge/bridge pier provides a new perspective for 22 analyzing the integrity of a bridge or a bridge pier against 23 scour hazards.<sup>[65]</sup> Scour monitoring sensors are an effective 24 component to the framework for scour detection. The opera-25 tional principles of the sensors are introduced in chronologi-26 cal sequence in the following paragraphs. The advantages of 27

those new sensors are later compared with each other and 60 with vibration-based scour detection, which are summarized 61 in Table 5. T5 62

An ultrasonic sensor was proposed to monitor scour in 63 real time.<sup>[86]</sup> The ultrasonic sensor was installed on a verti-64 cally fixed trail that allowed the sensor to move vertically 65 (Figure 10a). The ultrasonic sensor worked on the principle F1066 that the ultrasonic pulse is reflected at the boundary between 67 water and soils due to the different acoustic impedance as 68 shown in Figure 10a, inferring that the horizontal distance 69 between the water and soils can be measured if a returning 70 signal is received. The scour depth and width can be detected 71 based on the analysis of returning signals. The feasibility of 72 this sensor has been validated in a laboratory test with reason-73 able accuracy and reliability. One advantage of this method is 74 that an actual river bed map can possibly be portraved based 75 on the monitoring data. Other benefits include the immunity 76 to noises, little complex wave pattern interferences, and a 77 high resolution. But disadvantages still remain: (a) this sensor 78 needs enough power to move vertically, and (b) the special 79 tube used in the sensor may be expensive because it requires 80 the high protection and a low interference. 81

A novel passive sensor, called smart rock, has been designed to monitor bridge scour in real time.<sup>[5,87,88]</sup> Smart rocks with embedded electronics were deployed around

TABLE 4 A summary of data processing from different methods

29 86 Test component(s) Data source **Evaluation index** Data processing 30 87 Full-scale bridge<sup>[52]</sup> PNF FFT Tests 31 88 Full-scale bridge<sup>[60]</sup> PNF 32 Tests FFT; the ratio of acceleration RMS; average of division parts frequencies 89 Single pier<sup>[65]</sup> Modal identification Three steps: filtering noises; applying ARMAV technique; post-processing, respectively Tests 33 90 Single pile<sup>[61,62]</sup> PNF FFT; the ratio of acceleration RMS 34 Tests 91 Single pier<sup>[63]</sup> FFT PNF 35 Tests 92 Full-scale bridge<sup>[70,72,75]</sup> FEMs PNF FFT 36 93 Single pier<sup>[49]</sup> 37 Tests PNF FFT; Average of division parts frequencies 94 Modal identification A simulated bridge<sup>[84]</sup> Tests and FEMs CDF; MCDF 38 95 Full-scale bridge<sup>[77]</sup> **FEMs** PNF FFT 39 96 Single pile<sup>[54]</sup> FFT Tests and FEMs PNF 40 97 Single pier<sup>[66]</sup> Tests and FEMs PNF FFT; three sets of ID and PNF 41 98

42 Note. ARMAV = auto-regressive moving average vector; CDF = curvature damage factor; FEM = finite element model; FFT = Fast Fourier Transform; MCDF = modified 43 curvature damage factor; PNF = predominant natural frequency.

TABLE 5 Comparison of new scour monitoring sensors

Sensor	Durability	Easy in installation	Accuracy	Cost (versus \$1,000)	Other advantages
Ultrasonic sensor <sup>[86]</sup>	Fair	Fair	Good	High	Portray river bed map; high resolution; immunity to noise and complex wave pattern
Smart Rocks <sup>[5,87,88]</sup>	Good	Good	Good	Low	Small size; immunity to noise, debris, salt, temperature, and complex wave pattern; wireless operation
A new TDR <sup>[89,90]</sup>	Good	Good	Good	Low	Acceptable to harsh field environments; flexible size; larger sensing depth
Underwater wireless acoustic sensors <sup>[91]</sup>	Fair	Good	Good	High	Work well under water; wireless operation
Capacitor sensor <sup>[93]</sup>	Fair	Fair	Fair	Fair	Little disturbance to the structure/soil; Work well in soil and under water
Vibration-based scour detection	Very good	Good	Good	Low	Overwater installation; no difficulties like underwater sensors; applicable to complicated bridge types; easy data processing

57 Note. The estimated index is referred to Chen et al.<sup>[5]</sup>



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foundations of existing or new bridges, among which a 18 special sensor, called master smart rock, was tied to the 19 pier cap as a fixed reference point for long term measure-20 ments (Figure 10b). Other rocks with different IDs can be 21 deployed into an existing sour hole so that the scour depth 22 can be detected by measuring its disturbance to the Earth's 23 magnetic field with a magnetometer at a remote station 24 (Figure 10b). If the positions of the smart rocks change, 25 the information can be sent using wireless communications 26 to a vicinity mobile station. Smart rocks in the laboratory 27 test demonstrated a good accuracy, but its performance in 28 29 the field is still being assessed. The primary benefit is that smart rocks always roll into and stay at the bottom of a 30 gradually growing scour hole, which is not affected by 31 32 extreme events such as a flood. More importantly, both natural rocks and smart rocks protect the bridge pier to the 33 extent. Other advantages include ease of the installation, 34 the high durability, the small size, and the immunity to 35 36 harsh environments.

A new real-time TDR strip sensor has been developed to monitor bridge scour.<sup>[89]</sup> This sensor works on the principle that the mismatch of materials will result in different

74 reflections because the electromagnetic wave travels with dif-75 ferent speeds in materials with different dielectric spectra. As 76 a result, the huge differences between the dielectric properties 77 of water and sands can be displayed clearly in the time 78 domain signal for scour depth detection.<sup>[89,90]</sup> The accuracy 79 of this sensor was validated by results of numerical simula-80 tions. Tao et al.<sup>[90]</sup> used this sensor to assemble a new system 81 for the field bridge scour monitoring. The performance was 82 quite accurate in the field test. The system included a TDR 83 strip sensor, a TDR signal generator, and a data acquisition 84 system. The TDR strip sensors were partially embedded into 85 the riverbed in the vicinity of bridge abutments or piers 86 (Figure 11a). The sensor was excited by an electromagnetic F1187 wave receiving from the control unit. The control unit col-88 lected the data and sent them to an Internet workstation. 89 The received data can be analyzed to evaluate bridge scour 90 damage. Many advantages can be displayed when compared 91 to previous TDR sensors. This novel TDR strip sensor can 92 adapt to harsh environments, for example, flood/icing. Also, 93 it can be fabricated to different lengths in order to match 94 the specific requirements. Moreover, it is a composite design 95 with coating at the TDR probes with cost-effective materials. 96



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Due to these facts, this sensor can be easily installed in the field with durable availability and a low cost during monitoring.

An underwater wireless acoustic sensor has also been 6 proposed for scour depth measurements.<sup>[91]</sup> As shown in Figure 11b, a number of acoustic sensors were tied around to the pier near the water bottom. Sensors in the same bridge 9 10 pier constituted a cluster and work along their own underwater-gateway. The sensors were oriented to direct acoustic 11 waves to the bottom and receive the reflected waves. Col-12 13 lected signals were sent using acoustic links to the corresponding gateway. Then a surface station could receive the 14 15 collected signals via the underwater-gateway. Therefore, the scour depth can be measured with the analysis of received 16 signal strength (RSS). Because the transmission loss in water 17 greatly affected the accuracy of the scour depth measure-18 ment, a wireless device was used to measure the distance 19 based on RSS short range underwater acoustic communica-20 tions. The Lambert W function<sup>[92]</sup> that considers the terms 21 of transmission loss was applied to compute distance based 22 on RSS. The performance of this sensor has been validated 23 with numerical simulations. However, more parameters of 24 25 the environment such as sound scattering and absorption by the sediments should be considered to obtain more accurate 26 results. 27

Another type of real-time monitoring sensor is the capac-28 itive type sensor.<sup>[93]</sup> The main principle is the change in the 29 capacitance of an electrode pair due to the higher dielectric 30 constant in water than that in soil. The capacitance increases 31 32 if any soils are scoured and replaced by water. Four or six pairs of electrodes were installed on the river bed around 33 bridge piers. Based on the principle, each pair of electrodes 34 was aligned vertically along piers and considered as a parallel 35 plate capacitor. Due to the different dielectric constants of 36 37 water and soils, the capacitance would change if soils were washed out between the electrode pairs installed around 38 piers. Bridge scour can be measured by measuring the capac-39 itance of an individual pair of electrodes. However, the 40 change in the capacitance sometimes was so small that it 41 42 was difficult to precisely detect bridge scour based on this

negligible change in the field test. To address this issue, an AC Wien bridge oscillator circuit is used to measure the change in the capacitance of the electrode. This was because the reciprocal value of this oscillator circuit frequency (1/f)was proportional to the square root of the electrode capacitance  $(\sqrt{c_{elect}})$ . The frequency changes with the value of the electrode capacitance. This frequency can directly reflect the presence of scour. Most importantly, the negligible change in the electrode capacitance can be amplified by measuring the change in the frequency, which is significant for the application of scour detection using the capacitive type sensor. The accuracy of this sensor has been confirmed in the simulations. The primary benefit of this sensor is that it brings little disturbance to the structure and soil, and it can work well in soils and under water. However, its performance in the field is still under ongoing evaluation.

#### 7 | DISCUSSIONS

In-depth discussions are made regarding a few controversial 80 and unsolved issues in existing studies. The focus has been 81 placed on the relationship between the PNF and the scour 82 depth in the previous studies. Much less attention has been 83 paid to the effect of the SSI on the accuracy of the measured 84 PNF. Also, there has been rare research on where the valid or 85 the best location(s) of the sensor installation is and on the 86 influence of unsymmetrical shapes of scour holes on the 87 measured PNF. These unsolved issues are discussed in this 88 section, which should be of both theoretical and practical sig-89 nificances to scour detection using the PNF. 90

The influence of the soil strength on the PNF still remains 91 controversial in previous FEMs. The numerical results from 92 Huang's model<sup>[75]</sup> shown in Figure 12a indicated that the soil F1293 strength had a small impact on the measured PNF as different 94 soil strengths led to the negligible differences in the values of 95 the PNF. To investigate different situations, the variation of a 96 Yong's modulus was assumed to linearly increase or decrease Q297 from the top to the bottom of the soil layer. However, a differ-98 ent conclusion was obtained in Zhang's model<sup>[76]</sup> presented 99





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in Figure 12b where the soil strength obviously influenced the measured PNF. Moreover, the results in this study revealed that the influence of the soil strength was greater at higher values of soil strength (Figure 12b), though the trend of the changes in the PNF was the same as the other soil strengths. The PNF calculated from both the numerical models was at the same order of a magnitude.

Results from another study can help understand this con-10 tradiction.<sup>[63]</sup> This study used the pier exposure to simulate 11 different scour situations. The pier exposure increased as 12 13 scour developed. The PNF was normalized by the PNF obtained from case 3 in Figure 4. The results from 14 15F13 Figure 13 indicate that the soil strength has a negligible effect on the PNF when no scour develops. Otherwise, the soil 16 strength explicitly affects the PNF in any scour situations. 17 Because the PNF is very sensitive to the scour damage, the 18 measured PNF should be as precise as possible. Any unac-19 ceptable deflections in the measurement of the PNF should 20 be avoided. If the PNF changes by a minor value, for exam-21 ple, about 5%, potential damage should not be ignored 22 because scour-induced bridge failures occur suddenly with-23 out any prior warning. This is a particularly critical case dur-24 25 ing constant torrential rains. Due to the concern, a sensitivity analysis of soil parameters in the soil strength remains con-26 tentious. Experimental tests and more accurate numerical 27 models are needed to confirm the conclusions. 28

Soil types may also deserve further investigations. The 29 noncohesive soils such as highly erodible sands<sup>[54,62]</sup> have 30 been widely utilized in most traditional experiments to find 31 32 the relationship between the scour depth and the PNF. This type of soil can generate a large scour hole with time econ-33 omy during the experiment. However, less erodible soils 34 such as cohesive soils may be part of media in the SSI. 35 Therefore, the soils used in the traditional experiments 36 37 may overestimate scour depths. In fact, the maximum scour



FIGURE 13 Relationship between different scour situations and the predominant natural frequency in the different soil strength [Reproduced from Samizo et al.[63]

depth with cohesive soils in different flume tests is smaller than that with noncohesive soils.<sup>[94]</sup> Therefore, analyses of the soil types should be conducted to systematically understand the mechanisms of the effect of soil properties on the PNF to advance the framework of the PNF-based scour detection.

Ouestions regarding the location of the sensor installation also need to be answered. In most previous field and laboratory tests, the dynamic response was usually obtained with sensors at some surface points of bridge components such as the surface of a deck or the top of a pier, or even the bottom of a pier.<sup>[49,61–63]</sup> However, there has been rare research on where the valid or the best location(s) for the sensor installation is. Such research is significant as an inappropriate location may lead to false measurements and an optimal location also ensures better accessibility and signal pick-up. Therefore, the questions would be addressed by measuring the dynamic response of a bridge component at different positions using both experiments and numerical simulations.

Another issue is the influence of the shape of scour holes 80 on the measured PNF, which has also been rarely discussed. 81 Previous numerical and experimental studies simulated scour 82 scenarios by removing equal increments of the surface soil 83 layer or soils evenly around bridge foundations.<sup>[54,62,77]</sup> This type of a scour hole is generally symmetrical. These bridge 85 scour models may fail to reflect the local scour characteris-86 tics. In reality, bridge scour may have various different shapes 87 of the scour hole. Among which, many types of the scour 88 holes are unsymmetrical. Limited attention has been paid to 89 the effect of unsymmetrical scour holes on the variation of the measured hole. To address this issue, scour scenarios with 91 the different unsymmetrical scour holes should be developed 92 to investigate their influence on the measured PNF. 93

It is also worthwhile to mention that the feasibility of 94 PNF-based scour detection has been confirmed by lab-scale 95 tests for simply supported bridges, such as Briaud et al.,<sup>[62]</sup> 96 and by simulations of multispan supported bridges.<sup>[77]</sup> Unfor-97 tunately, the performance of this method for other bridge 98 types, such as suspension bridges and arch bridges, has rarely 99 been evaluated and reported in the literature. Theoretically, it 100 is feasible to detect progressive scour for those complicated 101 bridges by investigating the change in the PNF of the entire 102 bridge, for which we can do a simulation to obtain the PNF 103 of the entire bridge using modal analysis. The feasibility of 104 this method has been confirmed using numerical simulations 105 on a real cable-stayed bridge<sup>[78]</sup>; however, it seems difficult 106 to use the PNF of a pier of such a complicated bridge to 107 detect progressive scour in practice. More efforts still need 108 to be made to evaluate the performance of PNF-based scour 109 detection on these complicated types of bridges. In addition, 110 it is very worthwhile to investigate the difference in the PNF 111 vibration feature or trend between scour-caused damage and 112 structure-caused damage. This is because structure-induced 113 damage in reality also can lead to the change in the PNF, 114

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which causes a difficulty in the framework of detecting bridge scour using the PNF if structure-induced damage happens. Therefore, it is always helpful to keep this possibility in mind, and whenever possible, apply the vibration-based method with knowledge from the superstructure inspection, which is relatively easy and common in engineering practice.

#### 8 | CONCLUSIONS

Scour detection based on the natural frequency spectrum addresses difficulties in traditional instrument installations and operations, which will possibly provide a more efficient approach for scour monitoring in fields. Many significant findings and innovations have been obtained in the past 5 to 7 years. This paper presents a critical review of the existing studies on the detection and evaluation of bridge scour by estimating the natural frequency spectrum of a bridge or a bridge component. Underlying mechanisms, laboratory and field tests, numerical studies, and vibration data processing schemes were reviewed to summarize the state-of-the-art, which is absent but urgently needed. Updates on recently developed scour monitoring sensors are also provided to complement the introduction.

Future attention is called to highlight the importance of the SSI, for which analyses of the influence of the soil types on the measured predominant natural frequency are particularly limited. Analyses of the effect of the soil strength on the predominant natural frequency from the current evidence also remain contentious. A few unsolved issues, such as the location for the sensor installation and the effect of the shape of scour holes, are also highlighted. Such unsolved issues have been rarely focused in the existing studies but are critical to supplementing and advancing the current framework of scour detection using the natural frequency spectrum.

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